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IN THE SUPERIOR COURT FOR THE COMMONWEALTH OF THE NORTHERN MARIANA ISLANDS

COMMONWEALTH OF THE NORTHERN MARIANA ISLANDS,) CRIMINAL CASE NO. 01-0063D
Plaintiff,	ORDER DENYING DEFENDANT'S
) MOTION TO DISMISS
VS.) PLAINTIFF'S MOTION FOR
	REVOCATION OF PROBATION
STEVEN R. AGUON,) FOR
) LACK OF JURISDICTION
Defendant.)
)

I. <u>INTRODUCTION</u>

THIS MATTER came before the Court on November 3, 2010 at 2:00 p.m. in Courtroom 220A on Defendant Steven R. Aguon's ("Defendant") Motion to Dismiss Revocation of Probation for Lack of Jurisdiction. Assistant Attorney General Russell Lorfing appeared on behalf of the Commonwealth ("Government"). Chief Public Defender Adam Hardwicke appeared with the Defendant. Defendant argues that on May 12, 2006, when Governor Benigno R. Fitial issued an Executive Order that commuted Defendant's sentence to "time served" pursuant to Article III, Section 9(c) of the CNMI Constitution and 6 CMC § 4251, his remaining jail sentences in both Criminal Case Nos. 01-0063 and 02-0289 were commuted, including the probation terms and conditions which required payments for restitution, court costs, and fines. As a result, Defendant claims that this Court lacks jurisdiction to revoke his probation in Criminal Case No. 01-0063.

The Government argues: (1) that a court retains jurisdiction before and after a commutation has been granted; (2) that the Constitution gives the Governor the power to commute criminal convictions and the courts must give effect to the Governor's intent; and (3) a crime victim has a Constitutional right to Restitution under CNMI law.

Having considered the arguments of counsel, the materials submitted and the applicable law, the Court is prepared to issue its ruling. For the reasons set forth below, this Court DENIES Defendant's Motion to Dismiss Revocation of Probation for Lack of Jurisdiction.

II. PROCEDURAL HISTORY

On December 17, 2001, Defendant entered a guilty plea to the charge of voluntary manslaughter in Criminal Case No. 01-0063 ("Manslaughter" case). He was sentenced to ten years imprisonment, all suspended except for five years to serve without the possibility of parole, with credit for time served since his arrest on February 3, 2001. He was further placed on probation for a period of five years, and was ordered to pay restitution, fine, and court fees.

While serving his five years of unsuspended sentence, Defendant escaped from prison. On May 14, 2003, he entered a guilty plea to the charge of escape in Criminal Case No. 02-0289 ("Escape" case). He was sentenced to serve one year imprisonment to run consecutively to the sentence he was serving for the Manslaughter case. He was further ordered to pay a court fee and probation fee, and to obey all laws.

On February 3, 2006, Defendant completed his five year unsuspended sentence for the Manslaughter case. However, he remained in prison to begin serving his one year sentence for the Escape case.

On May 6, 2006, while Defendant was serving his one year imprisonment sentence for the Escape case and while he was on probation for the Manslaughter case, Governor Benigno R. Fitial issued an Executive Order that commuted Defendant's sentence to "time served" pursuant to Article III, Section 9(c) of the CNMI Constitution and 6 CMC § 4251.

III. ISSUE

The sole issue in Defendant's motion is whether the Governor's Executive Order dated May 12, 2006 commuted Defendant's jail sentences, probation terms and conditions including restitution, court costs, and fines, in both Criminal Case No. 01-0063, wherein he pled guilty to Voluntary Manslaughter, and in Criminal Case No. 02-0289, wherein he pled guilty to Escape, such that this Court lacks jurisdiction to entertain a revocation of the suspended prison sentence in the Manslaughter case?

IV. **DISCUSSION**

Article III Section 9(c) of the Commonwealth Constitution provides that the Governor "shall have the power to grant reprieves, commutations and pardons after conviction for offenses after consultation with a board of parole to be established by law." In addition, 6 CMC § 4251 describes the Governor's clemency powers and provides in part:

"A "reprieve" shall postpone the execution of a sentence. A "commutation" substitutes a lighter penalty for that imposed by the court. A "pardon" ends penalties or legal disabilities imposed after conviction. The governor may grant an absolute pardon, which frees the person without any conditions, terminates any punishment and exonerates the person from any guilt or conviction; a conditional pardon, which depends upon the performance of some act by the person for its validity, or which may become void when some specific event transpires; or a partial pardon, which remits only some portion of the punishment, or absolves the person from a portion of the legal consequences, or restores one or more of the person's civil liberties after conviction."

6 CMC § 4251.

Pursuant to Article III Section 9(c) of the Commonwealth Constitution and 6 CMC § 4251, it is clear that the Governor has the authority to grant clemency. As set forth in 6 CMC § 4251, the three types of clemency powers the Governor has are: (1) the power to grant reprieves; (2) the power to grant commutations; and (3) the power to pardon.

Since the judicial interpretation of the exercise of this clemency power appears to be a case of first impression in the Commonwealth, the Court may turn to case law in other jurisdictions for guidance. *Commonwealth v. Yi Xiou Zhen*, 2002 MP 4. The power to pardon falls under one of three

general types: absolute, partial, and conditional. An absolute pardon purges all guilt, leaving the convicted person in the same legal position as if he or she had never been convicted. By contrast, a partial pardon absolves the convicted person of only some legal consequences, excusing only a portion of the sentence without extinguishing guilt, whereas, a conditional pardon becomes effective only upon the happening of a specific act.

A commutation, on the other hand, substitutes a lighter penalty for that imposed by the Court, thereby reducing a judicially imposed sentence.² In other words, a commutation of a sentence is the change of the punishment to which a person is sentenced to a less severe punishment – substitution of a lesser for a greater punishment – by authority of law.³ Occasionally the term "commutation" is used to designate what is more commonly called "good time." However, unlike pardons, commutations are not generally regarded as removing the taint of conviction or restoring any lost civil privileges. Keeping these general principles in mind, the Court will address the Governor's commutation of Defendant's sentence as it relates to these two criminal cases.

On May 12, 2006, the Governor commuted the sentence of Steven Aguon to time served. The Court recognizes the Governor's authority to commute Defendant's sentence and the Court does not

¹ Parker v. State, 795 P.2d 68 (Kan. 1990) (Dictum: "A [full] pardon is forgiveness and relieves the accused from the legal consequences of a specific crime.")

² State v. Spady, 645 N.W.2d 539 (Neb. 2002) (commutation of punishment is substitution of a milder punishment known to the law for the one inflicted by the court) (omitting internal punctuation); *Bates v. Murphy*, 796 P.2d 116 (Idaho 1990) (a commutation diminishes the severity of sentence, e.g., shortens the term of punishment).

³ Biddle v. Perovich, 274 U.S. 480 (1927); Colwell v. State, 919 P.2d 403 (Nev. 1996); State ex rel. Forbes v. Capertown, 481 S.E.2d 780 (W. Va. 1996) (although a commutation is not synonymous with a pardon, it is well established throughout the United States today that the power to pardon generally encompasses the lesser power to commute).

⁴ See, e.g., Rivera v. Commissioner of Correction, 756 A.2d 1264 (Conn. 2000) ('Good time' is a commutation of a sentence, affecting an inmate's parole and discharge dates, thereby serving an important rehabilitative function by allowing an inmate the opportunity to earn an earlier release for himself...).

⁵ Sullivan v. Askew, 348 So.2d 312 (Fla. 1977).

⁶ See, Ohio Adult Parole Authority v. Woodard, 523 U.S. 272 (1998) ("[P]ardon and commutation decisions have not traditionally been the business of courts; as such, they are rarely if ever, appropriate subjects for judicial review.").

wish to disrupt the Governor's commutation. It is well settled law that judges are powerless to interfere with the executive elemency power.⁶ In particular, judges cannot order pardon boards to commute sentences⁷ or amend sentences once commuted by the executive. However, the Court must determine whether the Governor's commutation herein included Defendant's jail sentences, probation terms and conditions including restitution, court costs, and fines in both the Manslaughter and Escape cases, or as to the Escape case only.

Defendant argues that the Governor's Executive Order is all encompassing, and commutes both of Defendant's sentences pronounced in 2001 and 2003. As such, Defendant claims that this Court lacks jurisdiction to hear the pending Motion to Revoke Probation since nothing remains from either of the two pending cases other than the convictions. (See Def's Reply to Commonwealth's Opp'n to Def's Motion to Dismiss, pg. 2). The Government contends that the Executive Order only commutes the remainder of Defendant's jail sentence in the Escape case, thus this Court still has jurisdiction to hear the pending Motion to Revoke Probation for the Manslaughter case. To reconcile these differences, the Court will examine the Governor's Executive Order to determine whether or not the Governor commuted both of Defendant's sentences in May 2006.

Paragraph one of the Executive Order recites Defendant's sentence imposed on December 17, 2001, in Criminal Case 01-0063, the Voluntary Manslaughter case. It recognizes the sentence to include, "ten years imprisonment, to serve five years without possibility of parole or early release and the remainder suspended, and then placed [Defendant] on probation for five years until the expiration of the ten-year sentence." *See* Def's Motion to Dismiss, Ex. No. 1, pg. 1 (Executive Order). As part of the 2001 sentence, Defendant was also ordered "to pay restitution for the funeral expenses of the victim within 18 months of release from the Department of Corrections." *Id.*

⁷ See, Wade v. Singletary, 696 So.2d 754 (Fla. 1997) (Regardless of executive's reasons for granting or denying pardon, courts must give effect to the decision and can only interfere if power exercised fraudulently.)

Paragraph two of the Executive Order recites Defendant's separate sentence imposed on May 16, 2003, in Criminal Case No. 02-0289, the Escape case. It acknowledges the sentence as "one year imprisonment to run consecutively to the five-year sentence of imprisonment; and to pay \$25 court assessment fee and a \$40 probation service fee within 30 days from his release from imprisonment." *Id.*

Paragraph three recognizes the fact that on February 6, 2006, three months prior to granting commutation, Defendant completed his five-year sentence for Voluntary Manslaughter. Paragraph four further recognizes Defendant's mandatory release date for the Escape sentence, to wit: February 3, 2007. Paragraph five cites a factual basis for granting the commutation, asserting that Defendant "suffers from a medical condition requiring off-island medical treatment as soon as possible." *Id.*

The Governor then recites his constitutional and statutory powers, and the procedures taken to grant Defendant's commutation. Also included is the Governor's conclusion that Defendant "poses no danger to society in his current medical condition and that this commutation should be granted for compassionate and humanitarian reasons and in the public interest." *Id.* at 2. Finally, the Executive Order concludes with the Governor's exercise of his constitutional and statutory powers to commute Defendant's sentence with the words: "hereby commute the sentence of Steven Aguon to time served".

The language "hereby commute the sentence of Steven Aguon to time served" is the basis for which Defendant now contends that this Court lacks jurisdiction to entertain a revocation of the suspended prison sentence in the Manslaughter case. Defendant argues that the Court's penalties as enumerated in the commutation were the convictions, jail sentences, the probation terms and conditions including restitution, court costs, and fines in both cases.

At the outset, the Court notes that although a sentence commonly refers to jail or prison time ordered after conviction, a sentence also includes all fines, community service, restitution and other punishment, or terms of probation. In addition, "sentenced to time served" is a sentencing disposition in which a criminal defendant is sentenced to jail but is credited with time served in an amount equal to the

1098 (Abridged 7th ed.2000). Accordingly, Defendant Aguon was entitled to and was in fact released from prison immediately after the Governor signed the commutation order in May, 2006, and he does not have to serve any of the remaining one year sentence. Defendant now argues that he also does not have to serve any of the suspended prison sentence from the Manslaughter case, nor perform any of the conditions of probation. Defendant contends that if any portion of his sentence was to remain intact after the commutation, it should have been memorialized in the Commutation.

In support of his argument, Defendant provided the Court with a copy of the Grant of Executive

sentence handed down, resulting in the defendant's release from custody. Black's Law Dictionary at

In support of his argument, Defendant provided the Court with a copy of the Grant of Executive Clemency for Lewis Libby by President George W. Bush. (See Def's Reply to Commonwealth's Opp'n to Def's Motion to Dismiss, pg. 4). It shows that on July 2, 2007, President George W. Bush commuted Lewis Libby's "prison terms...to expire immediately, leaving intact and in effect the two-year term of supervised release, with all its conditions, and all other components of the sentence." (See Def's Reply, Ex. 1, pg. 1 (Grant of Executive Clemency)). The Court has also reviewed the January, 2001 Presidential Order wherein President Bill Clinton commuted the sentence of over 20 federal prisoners to "time served." In every single one of those commutations, the "prison sentence" was commuted to "time served," leaving intact and in effect the remaining provisions. The Court agrees with Defendant's argument that if the Governor wished to place conditions on the Defendant, he should have done so when he commuted Defendant's sentence, which he failed to do in this case.

In any event, the most telling aspect of the Governor's commutation may not be what was in the Executive Order, but instead what was left out. Here, it is clear that the Governor did have the power to commute Defendant's *sentence* to "time served"; however, the Court does not believe that this affected Defendant's probation terms and conditions for the 2001 Manslaughter case. Defendant completed his five-year prison sentence for Voluntary Manslaughter on February 3, 2006 and would have been released on that same date had he not been sentenced to one year imprisonment for his Escape case, to run consecutively to his prison sentence. The fact is that Defendant was imprisoned and serving his one

year sentence for the Escape case when the Governor signed the Executive Order commuting Defendant's "sentence" to time served.

An interpretation that gives a reasonable, lawful and effective meaning to *all terms* is preferred to an interpretation which leaves a part unreasonable. RESTATEMENT (SECOND) OF CONTRACTS § 203 (1979); *Trinity Ventures, Inc. v. Guerrero*, 1 N.M.I. 54 (1990) (emphasis added). Here, the lawful and effective meaning of the term "sentence" is singular in nature and does not include both of Defendant's criminal sentences.

Although the Governor did not include the term "prison sentence" in his commutation, the Court believes this is necessarily implied by the term "time served." However, because the commutation order did not clearly limit the commutation to the "prison sentence" only, the Court can only conclude that the Governor commuted Defendant's entire sentence in the Escape case, to include the fine and probation fee. The Governor's Executive Order effectively cut short the Defendant's one year jail sentence and relieved him of any/all assessment fees and/or fines in the Escape case, thereby discharging Defendant from paying the \$25 court assessment fee (6 CMC § 4119), and the \$40 probation service fee (6 CMC § 4113). Moreover, since no conditions were placed on Defendant's commutation, none exist. Whether this was done intentionally or inadvertently would require the Court to opine as to the Governor's intent, which this Court is not prepared to do. However, if this Court were to look behind the commutation, an affidavit by the Governor would suffice.

In Lute v. Missouri Board of Probation and Parole, 218 S.W.3d 431 (Mo. 2007), the Supreme Court of Missouri examined the effect of the Governor's commutation of two women who were each convicted of murdering their abusive husbands. The Governor's commutations eliminated from both inmates' sentences the prohibition against probation or parole, however, the Board of Parole denied parole, stating that to do otherwise would depreciate the seriousness of the present offense. The Missouri Supreme Court found that when interpreting the Governor's commutations, the Court must

give effect to the Governor's intent which can be discerned by an affidavit from that Governor since it is he, who is the sole author of the document who can resolve any ambiguities.

In this case, the Declaration signed by Governor Fitial, on October 29, 2010, in support of the Commonwealth's opposition to the defendant's motion could therefore be used to determine his intent, since he was the Governor who commuted Defendant's sentence back in 2006, subject to the Declaration being notarized or sworn to under penalty of perjury. However, because this Court applied the plain meaning of the terms of the commutation, the Court need not decipher the Governor's intent. In addition, the Court disagrees with the Defendant that it is impossible to conclude that the commutation reduced Defendant's entire sentence in the Escape case to "time served," including his probation, \$40 probation fee, and \$25 court assessment fee, while leaving the Voluntary Manslaughter sentence intact. Defendant was subjected to two jail sentences, one for manslaughter, and the other for escape. The Governor commuted his jail sentence to allow for his immediate release because, according to the Executive Order, he "suffers from a medical condition requiring off-island medical treatment as soon as possible." The commutation of the Escape sentence accomplished that purpose; and the commutation of the Manslaughter sentence was not necessary to secure his release from custody.

V. CONCLUSION

In sum, the Governor's commutation cut short the remainder of Defendant's jail sentence and relieved him of any/all assessment fees and/or fines in the Escape case. However, the commutation did not affect the Voluntary Manslaughter case. Consequently, this Court has jurisdiction to hear the Government's Motion to Revoke Probation since Defendant's five year suspended sentence and obligation to pay restitution still remain in the Voluntary Manslaughter case. For the reasons stated above, the Court **DENIES** Defendant's Motion to Dismiss.

IT IS SO ORDERED this 31st day of December, 2010.

RAMONA V. MANGLONA, Associate Judge